DBPR NEGOTIATED RULEMAKING COMMITTEE
October 20, 1997

Rm B-3, Fuller-Warren Building
202 Blount Street
Tallahassee, Florida

INITIAL MEETING

PROPOSED MEETING OBJECTIVES

- To agree on a goal statement for the negotiations;
- To identify the scope and issues to be negotiated;
- To identify areas of agreement and differences and develop a process and meeting agenda to address them;
- To agree on next steps and meeting schedule.

DRAFT AGENDA

10:00  Introductions and Agenda Review

10:15  Review and Clarification of Alternative Approaches to Developing the "Minor Violations" Rule

11:00  Review Notice Issues

11:45  Review and Agreement Seeking on Proposed Goal Statement

12:15  Break

1:15  Identification and Agreement of the Scope of Issues for Negotiation
     Check for consensus on the scope of issues to be negotiated

3:15  Break

3:30  Review of Next Steps, Schedule and Assignments

4:00  Adjourn
SECOND MEETING
DBPR NEGOTIATED RULEMAKING COMMITTEE
November 5, 1997

Rm B-3, Fuller-Warren Building
202 Blount Street
Tallahassee, Florida

PROPOSED MEETING OBJECTIVES

• To seek consensus on an approach to developing the "Minor Violations" rule;
• To Review notice issues and language and to identify areas of agreement and differences
• To Review prospectus issues and language and to identify areas of agreement and differences
• To agree on next steps.

DRAFT AGENDA

10:00 Introductions, Review of October 20 Summary and Agenda Review

10:15 Review and Clarification of Alternative Approaches to Developing the "Minor Violations" Rule

11:00 Review Notice Issues & Language

12:15 Lunch Break

1:15 Continue Notice Issues & Language

2:15 Review Prospectus Issues & Language

3:15 Break

3:30 Continue Prospectus Issues & Language

4:30 Review Other Issues and Discuss Next Steps

5:00 Adjourn
PROPOSED MEETING OBJECTIVES

• To Review notice issues and language and to identify areas of agreement and differences

• To Review prospectus issues and language and to identify areas of agreement and differences

• To agree on next steps.

DRAFT AGENDA

10:00  Introductions, Review of November 5 Summary and Agenda Review

10:15  Review Notice Issues & Language
       Purpose of notice
       "Safe Harbor" and forms language
       Other notice language

12:15  Lunch Break

1:15   Review & Rank Prospectus Disclosure Issues & Language

3:15   Break

3:30   Continue Prospectus Issues & Language

4:15   Review Other Issues and Discuss Next Steps and November 24 “Safe Harbor” Work Group and December 8 Agenda

5:00   Adjourn
FOURTH MEETING
DBPR NEGOTIATED RULEMAKING COMMITTEE
December 8, 1997

Rm B-3, Fuller-Warren Building
202 Blount Street
Tallahassee, Florida

PROPOSED MEETING OBJECTIVES

• To seek consensus on the "Minor Violations" rule and continued dialogue;
• To agree on next steps.

DRAFT AGENDA

10:00 Introductions, Review of November 17 Summary and November 24 “Safe Harbor” Work Group Summary and Agenda Review
10:15 Review of Proposed Consensus Minor Violation Rule
12:15 Lunch Break
1:15 Review of Proposed Consensus Minor Violation Rule
3:15 Break
3:30 Review of Consensus Agreement to Continue Dialogue
4:15 Review Next Steps and December 15 Agenda (if needed)
5:00 Adjourn
FIFTH MEETING
DBPR NEGOTIATED RULEMAKING COMMITTEE
December 15, 1997

Rm B-3, Fuller-Warren Building
202 Blount Street
Tallahassee, Florida

PROPOSED MEETING OBJECTIVES

• To seek consensus on the "Minor Violations" rule and continued dialogue;
• To agree on next steps.

DRAFT AGENDA

10:00 Introductions, Groundrules and Agenda Review

10:10 Review of Proposed Consensus Minor Violation Rule

10:45 Review of Consensus Agreement to Continue Dialogue

11:00 Signing of the Committee's Agreement?

11:15 Next Steps

11:30 Adjourn (or recess)

2:15 If needed, reconvene to conclude agreement